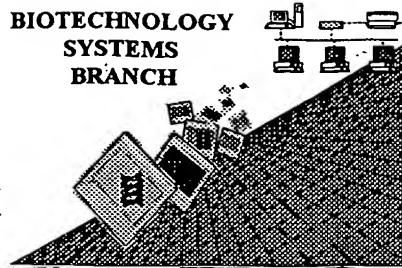


0500

## RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/006,768  
Source: O/PE  
Date Processed by STIC: 12/17/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by the treatment given to all mail coming via the Brentwood Mail Facility.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom, including:

1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>), EFS Submission User Manual - ePAVE

2. U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202

3. Hand Carry directly to:

U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name, 1911 South Clark Street, Crystal Mall One, Sequence Information, Arlington, VA 22202

Or

U.S. Patent and Trademark Office, 2011 South Clark Place, Customer Window, Box Sequence, Crystal Plaza Two, Lobby, Room 1B03, Arlington, Virginia 22202

4. Federal Express Delivery, 2011 South Clark Street, Crystal Plaza 2, Room 1B03-Mailroom, Box Sequence, Arlington, VA 22202

Raw Sequence Listing Error Summary

<u>ERROR DETECTED</u>	<u>SUGGESTED CORRECTION</u>	<u>SERIAL NUMBER: 10/006,768</u>
<b>ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFT</b>		
1 <input type="checkbox"/> Wrapped Nucleic <input type="checkbox"/> Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2 <input type="checkbox"/> Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3 <input type="checkbox"/> Misaligned Amino <input type="checkbox"/> Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4 <input type="checkbox"/> Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5 <input type="checkbox"/> Variable Length	Sequence(s) <input type="checkbox"/> contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6 <input type="checkbox"/> PatentIn 2.0 <input type="checkbox"/> "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) <input type="checkbox"/> . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7 <input type="checkbox"/> Skipped Sequences <input type="checkbox"/> (OLD RULES)	Sequence(s) <input type="checkbox"/> missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION: SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped	
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.	
8 <input type="checkbox"/> Skipped Sequences <input type="checkbox"/> (NEW RULES)	Sequence(s) <input type="checkbox"/> missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000	
9 <input type="checkbox"/> Use of n's or Xaa's <input type="checkbox"/> (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa; and which residue n or Xaa represents.	
10 <input type="checkbox"/> Invalid <213> <input type="checkbox"/> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence	
11 <input type="checkbox"/> Use of <220>	Sequence(s) <u>375</u> missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
12 <input type="checkbox"/> PatentIn 2.0 <input type="checkbox"/> "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13 <input type="checkbox"/> Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.	

OIPE

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/006,768

DATE: 12/17/2001  
TIME: 10:43:07

Input Set : A:\Seq\_List\_for\_P2830P1C10.wpd  
Output Set: N:\CRF3\12172001\J006768.raw

3 <110> APPLICANT: Baker, Kevin P.  
4 Botstein, David  
5 Desnoyers, Luc  
6 Eaton, Dan L.  
7 Ferrara, Napoleone  
8 Fong, Sherman  
9 Gao, Wei-Qiang  
10 Goddard, Audrey  
11 Godowski, Paul J.  
12 Grimaldi, Christopher J.  
13 Gurney, Austin L.  
14 Hillan, Kenneth J.  
15 Pan, James  
16 Paoni, Nicholas F.  
18 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic  
19 Acids Encoding the Same  
21 <130> FILE REFERENCE: P2830P1C10  
C--> 23 <140> CURRENT APPLICATION NUMBER: US/10/006,768  
C--> 23 <141> CURRENT FILING DATE: 2001-12-06  
23 <150> PRIOR APPLICATION NUMBER: 60/098716  
24 <151> PRIOR FILING DATE: 1998-09-01  
26 <150> PRIOR APPLICATION NUMBER: 60/098723  
27 <151> PRIOR FILING DATE: 1998-09-01  
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36 <151> PRIOR FILING DATE: 1998-09-02  
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39 <151> PRIOR FILING DATE: 1998-09-02  
41 <150> PRIOR APPLICATION NUMBER: 60/098843  
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44 <150> PRIOR APPLICATION NUMBER: 60/099536  
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56 <150> PRIOR APPLICATION NUMBER: 60/099642  
57 <151> PRIOR FILING DATE: 1998-09-09  
59 <150> PRIOR APPLICATION NUMBER: 60/099741  
60 <151> PRIOR FILING DATE: 1998-09-10  
62 <150> PRIOR APPLICATION NUMBER: 60/099754  
63 <151> PRIOR FILING DATE: 1998-09-10

Does Not Comply  
Corrected Diskette Needed

P6

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/006,768

DATE: 12/17/2001  
TIME: 10:43:07

Input Set : A:\Seq\_List\_for\_P2830P1C10.wpd  
Output Set: N:\CRF3\12172001\J006768.raw

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RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/006,768

DATE: 12/17/2001  
TIME: 10:43:07

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Output Set: N:\CRF3\12172001\J006768.raw

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RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/006,768

DATE: 12/17/2001  
TIME: 10:43:07

Input Set : A:\Seq\_List\_for\_P2830P1C10.wpd  
Output Set: N:\CRF3\12172001\J006768.raw

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RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/006,768

DATE: 12/17/2001  
TIME: 10:43:07

Input Set : A:\Seq\_List\_for\_P2830P1C10.wpd  
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314 <150> PRIOR APPLICATION NUMBER: 60/108500  
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342 <151> PRIOR FILING DATE: 1998-11-17  
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357 <151> PRIOR FILING DATE: 1998-11-17

9/06, 768 6

<210> 375  
<211> 1098  
<212> DNA  
<213> Artificial

<400> 375

Section II on Exam Summary Sheet

*PSJ* Use of n and/or Xaa has been detected in the Sequence Listing.  
Review the Sequence Listing to insure a corresponding  
explanation is presented in the <220> to <223> fields of  
each sequence using n or Xaa.

VERIFICATION SUMMARY  
PATENT APPLICATION: US/10/006,768

DATE: 12/17/2001  
TIME: 10:43:08

Input Set : A:\Seq\_List\_for\_P2830P1C10.wpd  
Output Set: N:\CRF3\12172001\J006768.raw

L:23 M:270 C: Current Application Number differs, Replaced Current Application No  
L:23 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:520 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:1  
L:523 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:1  
L:533 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:2  
L:536 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:2  
L:681 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4  
L:1342 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:13  
L:1345 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:13  
L:1355 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:14  
L:1358 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:14  
L:1368 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:15  
L:1371 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:15  
L:1551 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:18  
L:1554 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:18  
L:1564 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:19  
L:1567 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:19  
L:1577 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:20  
L:1580 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:20  
L:1911 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:25  
L:1914 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:25  
L:1924 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:26  
L:1927 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:26  
L:1937 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:27  
L:1940 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:27  
L:2406 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:34  
L:2409 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:34  
L:2419 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:35  
L:2422 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:35  
L:2432 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:36  
L:2435 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:36  
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L:2448 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:37  
L:2458 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:38  
L:2461 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:38  
L:2471 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:39  
L:2474 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:39  
L:2779 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:44  
L:2782 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:44  
L:2792 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:45  
L:2795 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:45  
L:2805 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:46  
L:2808 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:46  
L:2818 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:47  
L:2821 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:47  
L:2831 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:48  
L:2834 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:48  
L:3957 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:59

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TIME: 10:43:08

Input Set : A:\Seq\_List\_for\_P2830P1C10.wpd  
Output Set: N:\CRF3\12172001\J006768.raw

L:3960 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:59  
L:3970 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:60  
L:3973 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:60  
L:3983 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:61  
L:3986 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:61  
L:4030 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:62  
L:4122 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:63  
L:4158 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:63  
L:4185 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:64  
L:4188 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:64  
L:4198 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:65  
L:4201 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:65  
L:4211 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:66  
L:4214 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:66  
L:4694 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:73  
L:4697 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:73  
L:4707 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:74  
L:4710 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:74  
L:4720 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:75  
L:4723 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:75  
L:5092 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:80  
L:5095 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:80  
L:5108 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:81  
L:5118 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:82  
L:5121 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:82  
L:5881 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:89  
L:5884 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:89  
L:5894 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:90  
L:5897 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:90  
L:5907 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:91  
L:5910 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:91  
L:5920 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:92  
L:5923 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:92  
L:5933 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:93  
L:5936 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:93  
L:6143 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:96  
L:6146 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:96  
L:6156 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:97  
L:6159 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:97  
L:6169 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:98  
L:6172 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:98  
L:6884 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:105  
L:6887 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:105  
L:6897 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:106  
L:6900 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:106  
L:6910 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:107  
L:6913 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:107  
L:6923 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:108  
L:6926 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:108

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Input Set : A:\Seq\_List\_for\_P2830P1C10.wpd  
Output Set: N:\CRF3\12172001\J006768.raw

L:6936 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:109  
L:6939 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:109  
L:7066 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:112  
L:7069 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:112  
L:7079 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:113  
L:7082 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:113  
L:7092 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:114  
L:7095 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:114  
L:7473 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:119  
L:14262 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:259  
L:20824 M:258 W: Mandatory Feature missing, <220> FEATURE:  
L:20824 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:  
L:20939 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:377  
L:22554 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:422